

WORLD INTELLECTUAL PROPERTY ORGANIZATION



33

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 4:		(11) International Publication Number: WO 89/10977
C12Q 1/68	A1	(43) International Publication Date: 16 November 1989 (16.11.89)
(21) International Application Number: PCT/GE (22) International Filing Date: 2 May 1989		patent), CH (European patent), DE (European patent),
(30) Priority data: 8810400.5 3 May 1988 (03.05.88) (71) Applicant (for all designated States except US): ISI VATION LIMITED [GB/GB]; 2 South Parks I ford OX1 3UB (GB). (72) Inventor; and (75) Inventor/Applicant (for US only): SOUTHERI [GB/GB]; 30 Staverton Road, Oxford OX2 63 (74) Agent: PENNANT, Pyers; Stevens, Hewlett & I Quality Court, Chancery Lane, London WG (GB).	IS INN Road, C N, Edv KJ (GB)	rin
,		

(54) Title: ANALYSING POLYNUCLEOTIDE SEQUENCES

(57) Abstract

This invention provides apparatus and method for analysing a polynucleotide sequence, either an unknown sequence or a known sequence. A support, e.g. a glass plate, carries an array of the whole or a chosen part of a complete set of oligonucleotides which are capable of taking part in hybridization reactions. The array may comprise one or more pairs of oligonucleotides. The polynuclotide sequence, or fragments thereof, are labelled and applied to the array under hybridizing conditions. Applications include analysis of known point mutations, genomic fingerprinting, linkage analysis, characterization of mRNAs, mRNA populations, and sequence determination.

. د

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	•				
AT"	Austria	FI	Finland	ML	Mafi
ΑU	Australia	FR	France	MR	Mauritania
BB	Barbados	GΑ	Gabon	MW	Malawi
BE	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Fasso	HU	Hungary	NO	Norway
BG	Bulgaria	π	Italy	RO	Romania
BJ.	8cain	JP	Japan	S 0	Sudan
BR	Brazil .	KP	Democratic People's Republic	SE	Sweden .
Œ	Central African Republic		of Korea	SN	Senegal
CG	Congo .	KR	Republic of Korea	SU	Soviet Union
СH	Switzerland	u	Liechtenstein	TD	Chad
CM	Cameroon	LK	Sri Lanka	TG	Togo
DE	Germany, Federal Republic of	w	Luxembourg	US	United States of America
ÐK	Denmark	MC	Monaco		
ES	Spain	MG	Madagascar		

10

15

20

25

30

35

ANALYSING POLYNUCLEOTIDE SEQUENCES

1. INTRODUCTION

Three methods dominate molecular analysis of nucleic acid sequences: gel electrophoresis of restriction fragments, molecular hybridisation, and the rapid DNA sequencing methods. These three methods have a very wide range of applications in biology, both in basic studies, and in the applied areas of the subject such as medicine and agriculture. Some idea of the scale on which the methods are now used is given by the rate of accumulation of DNA sequences, which is now well over one million base pairs a year. However, powerful as they are, they have their limitations. restriction fragment and hybridisation methods give a coarse analysis of an extensive region, but are rapid; sequence analysis gives the ultimate resolution, but it is slow, analysing only a short stretch at a time. There is a need for methods which are faster than the present methods, and in particular for methods which cover a large amount of sequence in each analysis.

This invention provides a new approach which produces both a fingerprint and a partial or complete sequence in a single analysis, and may be used directly with complex DNAs and populations of RNA without the need for cloning.

In one aspect the invention provides apparatus for analysing a polynucleotide sequence, comprising a support and attached to a surface therof an array of the whole or a chosen part of a complete set of cligonucleotides of chosen lengths, the oligonucleotides being capable of taking part in hybridisation reactions. For studying differences between polynucleotide sequences, the invention provides in another aspect apparatus comprising a support and attached to a surface therof as array of the whole or a chosen part of a complete set of oligonucleotides of chosen lengths comprising the polynucleotide sequences, the oligonucleotides.

10

35

nucleotides being capable of taking part in hybridisation reactions.

In another aspect, the invention provides a method of analysing a polynucleotide sequence, by the use of a support to the surface of which is attached an array of the whole or a chosen part of a complete set of oligonucleotides of chosen lengths, which method comprises labelling the polynucleotide sequence or fragments thereof to form labelled material, applying the labelled material under hybridisation conditions to the array, and observing the location of the label on the surface associated with particular members of the set of oligonucleotides.

The idea of the invention is thus to provide a 15 structured array of the whole or a chosen part of a complete set of oligonucleotides of one or several chosen lengths. The array, which may be laid out on a supporting film or glass plate, forms the target for a hybridisation reaction. The chosen conditions of 20 hybridisation and the length of the oligonucleotides must at all events be sufficient for the available equipment to be able to discriminate between exactly matched and mismatched cligonucleotides. hybridisation reaction, the array is explored by a 25 labelled probe, which may comprise oligomers of the chosen length or longer polynucleotide sequences or fragments, and whose nature depends on the particular application. For example, the probe may comprise labelled sequences amplified from genomic DNA by the 30 polymerase chain reaction, or a mRNA population, or a complete set of oligonucleotides from a complex sequence such as an entire genome. The end result is a set of filled cells corresponding to the aligonucleotides present in the analysed sequence, and a set of "empty" sites corresponding to the sequences

35

which are absent in the analysed sequence. The pattern produces a fingerprint representing all of the sequence analysed. In addition, it is possible to assemble most or all of the sequence analysed if an oligonucleotide length is chosen such that most or all oligonucleotide sequences occur only once.

The number, the length and the sequences of the oligonucleotides present in the array "lookup table" also depend on the application. The array may include all possible oligonucleotides of the chosen length, as 10 would be required if there was no sequence information on the sequence to be analysed. In this case, the preferred length of oligonucleotide used depends on the length of the sequence to be analysed, and is such that there is likely to be only one copy of any particular 15 oligomer in the sequence to be analysed. Such arrays are large. If there is any information available on the sequence to be analysed, the array may be a selected subset. For the analysis of a sequence which is known, the size of the array is of the same order as 20 length of the sequence, and for many applications, such as the analysis of a gene for mutations, it can be quite small. These factors are discussed in detail in what follows.

OLIGONUCLEOTIDES AS SEQUENCE PROBES 25 Oligonucleotides form base paired duplexes with cligonucleotides which have the complementary base sequence. The stability of the suplex is dependent on the length of the oligonucleotides and on base composition. Effects of base composition on duplex 30 stability can be greatly reduced by the presence of high concentrations of quaternary or tertiary amines. However, there is a strong effect of mismatches in the iligonucleotides duplex on the inermal stability of the hybrid, and it is this which makes the technique of

hybridisation with oligonucleotides such a powerful method for the analysis of mutations, and for the selection of specific sequences for amplification by DNA polymerase chain reaction. The position of the 5 mismatch affects the degree of destabilisation. Mismatches in the centre of the duplex may cause a lowering of the Tm by 10°C compared with 1°C for a terminal mismatch. There is then a range of discriminating power depending on the position of 10 mismatch, which has implications for the method described here. There are ways of improving the discriminating power, for example by carrying out hybridisation close to the Tm of the duplex to reduce the rate of formation of mismatched duplexes, and by 15 increasing the length of oligonucleotide beyond what is required for unique representation. A way of doing this systematically is discussed.

3. ANALYSIS OF A PREDETERMINED SEQUENCE

One of the most powerful uses of oligonucleotide 20 probes has been in the detection of single base changes in human genes. The first example was the detection of the single base change in the betaglobin gene which leads to sickle cell disease. There is a need to extend this approach to genes in which there may be a 25 number of different mutations leading to the same phenotype, for example the DMD gene and the HPRT gene, and to find an efficient way of scanning the human genome for mutations in regions which have been shown by linkage analysis to contain a disease locus for 30 example Huntington's disease and Cystic Fibrosis. Any known sequence can be represented completely as a set of overlapping oligonucleotides. The size of the set is N s + 1 = N, where N is the length of the sequence and s is the length of an oligomer. f gene of 1 kb for 35 example, may be divided into an overlapping set of

around one thousand oligonucleotides of any chesen length. An array constructed with each of these oligonucleotides in a separate cell can be used as a multiple hybridisation probe to examine the hozologous sequence in any context, a single-copy gene in the human 5 genome or a messenger RNA among a mixed RNA population, for example. The length s may be chosen such that there is only a small probability that any oligomer in the sequence is represented elsewhere in the sequence to be analysed. This can be estimated from the 10 expression given in the section discussing statistics below. For a less complete analysis it would be possible to reduce the size of the array e.g. by a factor of up to 5 by representing the sequence in a partly or non-overlapping set. The advantage of using 15 a completely overlapping set is that it provides a more precise location of any sequence difference, as the mismatch will scan in \underline{s} consecutive oligonucleotides. ANALYSIS OF AN UNDETERMINED SEQUENCE

The genomes of all free living organisms are 20 larger than a million base pairs and none has yet been sequenced completely. Restriction site mapping reveals only a small part of the sequence, and can detect only a small portion of mutations when used to compare two genomes. More efficient methods for analysing complex 25 sequences are needed to bring the full power of molecular genetics to bear on the many biological problems for which there is no direct access to the gene or genes involved. In many cases, the full sequence of the nucleic acids need not be determined; 30 the important sequences are those which differ between two nucleic acids. To give three examples: the DNA sequences which are different between a wild type organism and one which carries a mutant can lead the way to isolation of the relevant gene; similarly, the 35 sequence differences between a cancer cell and its

30

35

normal counterpart cam reveal the cause of transformation; and the RNA sequences which differ between two cell types point to the functions which distinguish them. These problems can be opened to molecular analysis by a method which identifies sequence . 5 differences. Using the approach outlined here, such differences can be revealed by hybridising the two nucleic acids, for example the genomic DNA of the two genotypes, or the mRNA populations of two cell types to an array of oligonucleotides which represent all 10 possible sequences. Positions in the array which are occupied by one sequence but not by the other show differences in two sequences. This gives the sequence information needed to synthesise probes which can them be used to isolate clones of the sequence involved. 1.5 4.1 ASSEMBLING THE SEQUENCE INFORMATION

Sequences can be reconstructed by examining the result of hybridisation to an array. Any oligonucleotide of length s from within a long sequence, overlaps 20 with two others over a length s-1. Starting from each positive oligonuclectide, the array may be examined for the four oligonucleotides to the left and the four to the right that can overlap with a one base displacement. If only one of these four oligonucleotides is found to be positive to the right, then the overlap and the additional base to the right determine s bases in the unknown sequence. The process is repeated in both directions, seeking unique matches with other positive oligonucleotides in the array. Each unique match adds a base to the reconstructed sequence.

4.2 SOME STATISTICS

Any sequence of length N can be broken down to a set of \sim N overlapping sequences s base pairs in length. (For double stranded nucleic acids, the sequence complexity of a sequence of N base pairs is 2N, because the two strands have different sequences,

but for the present purpose, this factor of two is not significant). For oligonucleotides of length s, there are 4^S different sequence combinations. How big should s be to ensure that most oligonucleotides will be represented only once in the sequence to be analysed, of complexity N? For a random sequence the expected number of s-mers which will be present in more than one copy is

$$\mu_{>1} \approx 4^{\epsilon}(1-e^{-\lambda}(1+\lambda))$$

10 where

$$\lambda = (N-s+1)/4^s$$

For practical reasons it is also useful to know how many sequences are related to any given s-mer by a 15 single base change. Each position can be substituted by one of three bases, there are therefore 3s sequences related to an individual s-mer by a single base change, and the probability that any s-mer in a sequence of N bases is related to any other s-mer in that sequence allowing one substitution is 3s.x:N/4^S. The relative 20 signals of matched and mismatched sequences will then depend on how good the hybridisation conditions are in distinguishing a perfect match from one which differ by a single base. (If 4^S is an order of magnitude greater than N, there should only be a few, 3s/10, related to 25 any oligonucleotide by one base change.) indications are that the yield of hybrid from the mismatched sequence is a fraction of that formed by the perfect duplex.

- For what follows, it is assumed that conditions can be found which allow oligonucleotides which have complements in the probe to be distinguished from those which do not.
 - 4.3 ARRAY FORMAT, CONSTRUCTION AND SIZE
- 35 To form an idea of the scale of the arrays needed

to analyse sequences of different complexity it is convenient to think of the array as a square matrix. All sequences of a given length can be represented just once in a matrix constructed by drawing four rows representing the four bases, followed by four similar columns. This produces a 4 x 4 matrix in which each of the 16 squares represents one of the 16 doublets. Four similar matrices, but one quarter the size, are then drawn within each of the original squares. produces a 16 x 16 matrix containing all 256 tetranucleotide sequences. Repeating this process produces a matrix of any chosen depth, s, with a number of cells equal to 4^{S} . As discussed above, the choice of s is of great importance, as it determines the complexity of 15 the sequence representation. As discussed below, s also determines the size of the matrix constructed, which must be very big for complex genomes. Finally, the length of the oligonucleotides determines the hybridisation conditions and their discriminating power 20 as hybridisation probes.

-	s	ųs	Conon	Side of Matrix	
	8	65536	Genomes 4 ^s x ¹⁰	(bixel=100 hm)	Sheets of film
	9	262144		•	
25	10	1.0 x 10 ⁶	cosmid	100 mm	1
	11	4.2×10^6			•
	12	1.7×10^{7}			
	13	6.7 x. 10 ⁷	E.coli		
	14	2.6×10^{8}	yeast	1.6 ₪	. 9
30	15	1.1 x 10 ⁹		•	
	16	4.2×10^9			
	17	1.7×10^{10}			
	18	6.7×10^{10}	human	25 m	2,500
	19	2.7×10^{11}			, , ,
35	20	1.1 x 10 ¹²		100 ⊏	

30

35

The table shows the expected scale of the arrays needed to perform the first analysis of a few genomes. The examples were chosen because they are genomes which have either been sequenced by conventional procedures the cosmid scale -, are in the process of being 5 sequenced - the E. coli scale -, or for which there has been considerable discussion of the magnitude of the problem - the human scale. the table shows that the expected scale of the matrix approach is only a small fraction of the conventional approach. This is readily seen in 10 the area of X-ray film that would be consumed. also evident that the time taken for the analysis would be only a small fraction of that needed for gel methods. The "Genomes" column shows the length of random sequence which would fill about 5% of cells in 15 the matrix. This has been determined to be the optimum condition for the first step in the sequencing strategy discussed below. At this size, a high proportion of the positive signals would represent single occurrences of each oligomer, the conditions needed to compare two 20 genomes for sequence differences.

5. REFINEMENT OF AN INCOMPLETE SEQUENCE

Reconstruction of a complex sequence produces a result in which the reconstructed sequence is interrupted at any point where an oligomer that is repeated in the sequence occurs. Some repeats are present as components of long repeating structures which form part of the structural organisation of the DNA, dispersed and tandum repeats in human DNA for example. But when the length of oligonucleatide used in the matrix is smaller than that needed to give totally unique sequence representation, repeats occur by chance. Such repeats are likely to be isolated. That is, the sequences surrounding the repeated oligomers are unrelated to each other. The gaps caused

25

30

35

by these repeats can be removed by extending the sequence to longer oligomers. In principle, those sequences shown to be repeated by the first analysis, using an array representation of all possible 5 oligomers, could be resynthesised with an extension at each end. For each repeated oligomer, there would be 4 x = 4 = 16 oligomers in the new matrix. hybridisation analysis would now be repeated until the sequence was complete. In practice, because the 10 results of a positive signal in the hybridisation may be ambiguous, it may be better to adopt a refinement of the first result by extending all sequences which did not give a clear negative result in the first analysis. An advantage of this approach is that extending the 15 sequence brings miszatches which are close to the eris in the shorter oligomer, closer to the centre in the extended oligomer, increasing the discriminatory power of duplex formation.

5.1 A HYPOTHETICAL ANALYSIS OF THE SEQUENCE OF BACTERIOPHAGE λ DNA

Lambda phage DNA is 48,502 base pairs long. Its sequence has been completely determined, we have treated one strand of this as a test case in a computer simulation of the analysis. The table shows that the appropriate size of oligomer to use for a sequence of this complexity is the 10-mer. With a matrix of 10-mers, the size was 1024 lines square. After "hybridisation" of the lambda 10-mers in the computer, 46,377 cells were positive, 1957 had double occurrences, 75 triple occurrences, and three quairuple occurrences. These 46,377 positive cells represented known sequences, determined from their position in the matrix. Each was extended by four x one base at the 3' end and four x one base at the 5', end to give 16 x 46,377 = 742,032 cells. This extended set reduced the

number of double occurrences to 161, a further 16fold extension brought the number down to 10, and one
more provided a completely overlapped result. Of
course, the same end result of a fully overlapped
sequence could be achieved starting with a 4 16 matrix,
but the matrix would be 4000 times bigger than the
matrix needed to represent all 10-mers, and most of the
sequence represented on it would be redundant.

5.2 LAYING DOWN THE MATRIX

The method described here envisages that the 10 matrix will be produced by synthesising oligonucleotides in the cells of an array by laying down the precursors for the four bases in a predetermined pattern, an example of which is described above. Automatic equipment for applying the precursors has yet to be 15 developed, but there are obvious possibilites; it should not be difficult to adapt a pen plotter or other computer-controlled printing device to the purpose. The smaller the pixel size of the array the better, as complex genomes need very large numbers of cells. 20 However, there are limits to how small these can be made. 100 microns would be a fairly comfortable upper limit, but could probably not be achieved on paper for reasons of texture and diffusion. On a smooth impermeable surface, such as glass, it may be possible 25 to achieve a resolution of around 10 microns, for example by using a laser typesetter to preform a solvent repellant grid, and building the oligonucleotides in the exposed regions. One attractive possibility, which allows adaptation of present 30 techniques of oligonucleatide synthesis, is to sinter microporous glass in microscopic patches onto the surface of a glass plate. Laying down very large number of lines or dots could take a long time, if the printing mechanism were sicw. However, a low cost ink-35

jet printer can print at speeds of about 10,000 spots per second. With this sort of speed, 10^8 spots could be printed in about three hours.

5

5.3 OLIGONUCLEOTIDE SYNTHESIS

There are several methods of synthesising oligonucleotides. Most methods in current use attach the nucleotides to a solid support of controlled pore size glass (CPG) and are suitable for adaptation to 10 synthesis on a glass surface. Although we know of no description of the direct use of cligonucleotides as hybridisation probes while still attached to the matrix on which they were synthesised, there are reports of the use of oligonucleotides as hybridisation probes on 15 solid supports to which they were attached after synthesis. PCT Application WO 85/01051 describes a method for synthesising oligonucleotides tethered to a CPG column. In an experiment performed by us, CPG was 20 used as the support in an Applied Bio-sytems oligonucleotide synthesiser to synthesise a 13-mer complementary to the left hand com site of phage lambda. The coupling steps were all close to theoretical yield. The first base was stably attached to the support medium through all the synthesis and 25 deprotection steps by a covalent link.

6. PROBES, HYBRIDISATION AND DETECTION The yield of oligonucleotides synthesised on 30 microporous glass is about 30 µmcl/g. A patch of this material 1 micron thick by 10 microns square would hold 3 x 10⁻¹² µmol, equivalent to mout 2 g of human

DNA. The hybridisation reaction could therefore be carried out with a very large excess of the bound oligonucleotides over that in the probe. So it should be possible to design a system capable of distinguishing between hybridisation involving single and multiple occurrances of the probe sequence, as yield will be proportional to concentration at all stages in the reaction.

The polynucleotide sequence to be analysed may be of DNA or RNA. To prepare the probe, the polynucleotide 10 may be degraded to form fragments. Preferably it is degraded by a method which is as random as possible, to an average length around the chosen length s of the oligonucleotides on the support, and oligomers of exact length s selected by electrophoresis on a sequencing 15 gel. The probe is then labelled. For example, oligonucleotides of length s may be end labelled. If labelled with 32P, the radioactive yield of any individual s-mer even from total human DNA could be more than 10 dpm/mg of total DNA. For detection, only 20 a small fraction of this is needed in a patch 10-100 microns square. This allows hybridisatics conditions to be chosen to be close to the Im of duplexes, which decreases the yield of hybrid and decreases the rate of formation, but increases the discriminating power. 25 Since the bound oligonucleotide is in excess, signal need not be a problem even working close to equilibrium.

Hybridisation conditions can be chosen to be those known to be suitable in standard procedures used to hybridise to filters, but establishing optimum conditions is important. In particular, temperature needs to be controlled closely, preferably to better than ±0.5°C. Particularly when the chosen length of the oligonucleotide is small, the analysis needs to be

10

15

20

25

35

able to distinguish between slight differences of rate and/or extent of hybridisation. The equipment may need to be programmed for differences in base composition between different oligonucleotides. In constructing the array, it may be preferable to partition this into sub-matrices with similar base compositions. This may make it easier to define the Tm which may differ slightly according to the base composition.

Autoradiograpy, especially with P causes image degradation which may be a limiting factor determining resolution; the limit for silver halide films is around 25 microns. Obviously some direct detection system would be better. Fluorescent probes are envisaged; given the high concentration of the target oligonucleotides, the low sensitivity of fluorescence may not be a problem.

We have considerable experience of scanning autoradiographic images with a digitising scanner. Our present design is capable of resolution down to 25 microns, which could readily be extended down to less than present application, depending on the quality of the hybridisation reaction, and how good it is at distinguishing absence of a sequence from the presence of one or more. Devices for measuring astronomical plates have an accuracy around 1 μ . Scan speeds are such that a matrix of several million cells can be scanned in a few minutes. Software for the analysis of the data is straight-forward, though the large data sets need a fast computer.

Experiments presented below demonstrate the feasibility of the claims.

Commercially available microscope slides (BDH Super Premium 76 x 26 x 1 mm) were used as supports. These were derivatised with a long aliphatic linker that can withstand the conditions used for the

10

15

deprotection of the aromatic heterocyclic bases, i.e. 30% NH at 55° for 10 hours. The linker, bearing a hydroxyl group which serves as a starting point for the subsequent oligonucleotide, is synthesised in two steps. The slides are first treated with a 25% solution of 3-glycidoxypropyltriethoxysilane in xylene containing several drops of Hunig's base as a catalyst. The reaction is carried out in a staining jar, fitted with a drying tube, for 20 hours at 90°C. The slides are washed with MeOH, Et 0 and air dried. hexaethylene glycol and a trace amount of conc. sulphuric acid are added and the mixture kept at 80° The slides are washed with MeOH, Et, 0 , for 20 hours. air dried and stored desiccated at -20° until use. This preparative technique is described in British Patent Application 8822228.6 filed 21 September 1988.

The oligonucleotide synthesis cycle is performed as follows:

The coupling solution is made up fresh for each step by mixing 6 vol. of 0.5M tetrazole in anhydrous 20 acetonitrile with 5 vol. of a 0.2M solution of the required beta-cyanoethylphosphoramidite. Coupling time Oxidation with a 0.1M solution is three minutes. of I in THF/pyridine/H₂O yields a stable phospho-Detritylation of the 5' end with 3% 25 triester bond. trichloroacetic acid in dichloromethane allows further extension of the oligonucleotide chain. There was no capping step since the excess of phosphoramidites used over reactive sites on the slide was large enough to drive the coupling to completion. After the synthesis 30 is completed, the oligonucleotide is deprotected in 30% NH_3 for 10 hours at 55° . The chemicals used in the coupling step are moisture-sensitive, and this critical step must be performed under anhydrous conditions in a sealed container, as follows. The shape of the patch 35

10

to be synthesised was cut out of a sheet of silicone rubber (76 x 26 x 0.5 mm) which was sandwiched between a microscope slide, derivatised as described above, and a piece of teflon of the same size and thickness. To this was fitted a short piece of plastic tubing that allowed us to inject and withdraw the coupling solution by syringe and to flush the cavity with Argon. The whole assembly was held together by fold-back paper clips. After coupling the set-up was disassembled and the slide put through the subsequent chemical reactions (oxidation with iodine, and detritylation by treatment with TCA) by dipping it into staining jars.

EXAMPLE 1.

As a first example we synthesised the sequences 15 oligo- dT_{10} -oligo- dT_{14} on a slide by gradually decreasing the level of the coupling solution in steps 10 to 14. Thus the 10-mer was synthesised on the upper part of the slide, the 14-mer at the bottom and the 11, 12 and 13-mers were in between. We used 10 pmol oligo-dA 20 labelled at the 5' end with P by the polynucleotide kinase reaction to a total activity of 1.5 million c.p.m., as a hybridisation probe. Hybridisation was carried out in a perspex (Plexiglas) container made to fit a microscope slide, filled with 1.2 ml of 1M NaCl 25 in TE, 0.1% SDS, for 5 minutes at 20°. rinse in the same solution without oligonucleotide, we were able to detect more than 2000 c.p.s. with a radiation monitor. An autoradizgraph showed that all the counts came from the area where the oligonucleotide 30 had been synthesised, i.e. there was no non-specific tinding to the glass or to the region that had been cerivatised with the linker only. After partial ∈Iution in 0.1 M NaCl different[al binding to the target is detectable, i.e. less binding to the shorter 35 than the longer oligo-dT. By gradually heating the

25

30

35

slide in the wash solution we determined the T (midpoint of transition when 50% eluted) to be 33. There were no counts detectable after incubation at 39° . The hybridisation and melting was repeated eight times with no diminution of the signal. The result is reproducible. We estimate that at least 5% of the input counts were taken up by the slide at each cycle.

EXAMPLE 2.

In order to determine whether we would be able to 10 distinguish between matched and mismatched oligonucleotides we synthesised two sequences 3' CCC GCC GCT GGA (cost) and 3' CCC GCC TCT GGA, which differ by one base at position 7. All bases except the seventh were added in a rectangular patch. At the seventh base. 15 half of the rectangle was exposed in turn to add the two different bases, in two stripes. Hybridisation of cosR probe oligonucleotide (5' GGG CGG CGA CCT) (kinase labelled with P to 1.1 million c.p.m., 0.1 M NaCl, TE. 0.1% SDS) was for 5 hours at 32°. The front of the 20 slide showed 100 c.p.s. after rinsing. Autoradiography showed that annealing occurred only to the part of the slide with the fully complementary oligonucleotide. No signal was detectable on the patch with the ' mismatched sequence.

EXAMPLE 3.

For a further study of the effects of mismatches or shorter sequences on hybridisation behaviour, we constructed two arrays; one (a) of 24 cligonucleotides and the other (b) of 72 oligonucleotides.

These arrays were set out as shown in Table 1(a) and 1(b). The masks used to lay down these arrays were different from those used in previous experiments. Lengths of silicone rubber tubing (1mm c.d.) were glued with silicone rubber cement to the surface of plain microscope slides, in the form of a "U". Clamping

10

15

20

25

30

35

these masks against a derivatised microscope slide produced a cavity into which the coupling sclution was introduced through a syringe. In this way only the part of the slide within the cavity came into contact with the phosphoramidite solution. Except in the positons of the mismatched bases, the arrays listed in Table 1 were laid down using a mask which covered most of the width of the slide. Off-setting this mask by 3mm up or down the derivatised slide in subsequent coupling reactions produced the olignucleotides truncated at the 3' or 5' ends.

For the introduction of mismatches a mask was used which covered half (for array (a)) or one third (for array (b)) of the width of the first mask. The bases at positions six and seven were laid down in two or three longitudinal stripes. This led to the synthesis of oligonucleotides differing by one base on each half (array (a)) or third (array (b)) of the slide. In other positions, the sequences differed from the longest sequence by the absence of bases at the ends.

In array (b), there were two columns of sequences between those shown in Table 1(b), in which the sixth and seventh bases were missing in all positions, because the slide was masked in a stripe by the silicone rubber seal. Thus there were a total of 72 different sequences represented on the slide in 90 different positions.

The 19-mer 5' CTC CTG AGG AGA AGT CTG C was used for hybridisation (2 million cpm, 1.2 ml 0.1% \acl in TE, 0.1% SDS, 20°).

The washing and elution steps were followed by autoradiography. The slide was kept in the washing solution for 5 min at each elution step and then exposed (45 min, intensified). Elution temperatures were 23, 36, 42, 47, 55 and 60°C respectively.

10

15

As indicated in the table, the oligonucleotides showed different melting behaviour. Short oligonucleotides melted before longer ones, and at 55°C, only the perfectly matched 19-mer was stable, all other oligonucleotides had been eluted. Thus the method can differentiate between a 18-mer and a 19-mer which differ only by the absence of one base at the end. Mismatches at the end of the oligonucleotides and at internal sites can all be melted under conditions where the perfect duplex remains.

Thus we are able to use very stringent hybridisation conditions that eliminate annealing to mismatch sequences or to oligonucleotides differing in length by as little as one base. No other method using hybridisation of oligonucleotides bound to the solid supports is so sensitive to the effects of mismatching.

EXAMPLE 4.

To test the application of the invention to diagnosis of inherited diseases, we hybridised the array (a), which carries the oligonucleotide sequences 20 specific for the wild type and the sickle cell mutations of the β -globin gene, with a 110 base pair fragment of DNA amplified from the $m{p}$ -globin gene by means of the polymerase chain reaction (PCR). Total DNA from the blood of a normal individual (1 microgram) was 25 amplified by PCR in the presence of appropriate primer oligonucleotides. The resulting 110 base pair fragment was purified by elecrophoresis through an agarose gel. After elution, a small, sample (ca. 10 picogram) was 30 This PCR contained only the second PCR reaction. upstream priming oligonucleotide. After 60 cycles of amplification with an extension time of 9 min. the product was removed from precursors by gel filtration. Gel electrophoresis of the radioactive product showed a 35

T.O.

15.

major band corresponding in length to the 110 base fragment. One quarter of this product (100,000 c.p.m. in 0.9 M NaCl. TE, 0.1% SDS) was hybridised to the array (a). After 2 hours at 30 ca. 15000 c.p.m. had been taken up. The melting behaviour of the hybrids was followed as described for the 19-mer in example 3, and it was found that the melting behaviour was similar to that of the oligonucleotide. That is to say, the mismatches considerably reduced the melting temperature of the hybrids, and conditions were readily found such that the perfectly matched duplex remained whereas the mismatched duplexes had fully melted.

Thus the invention can be used to analyse long fragments of DNA as well oligonucleotides, and this example shows how it may be used to test nucleic acid sequences for mutations. In particular it shows how it may be applied to the diagnosis of genetic diseases.

EXAMPLE 5.

To test an automated system for laying down the 20 precursors, the cosL oligonucleotide was synthesised with 11 of the 12 bases added in the way described For the addition of the seventh base, however, the slide was transferred into an Argon filled chamber containing a pen plotter. The pen of the plotter had 25 been replaced by a component, fabricated from Nylon, which had the same shape and dimensions as the pen, but which carried a polytetrafluorcethylene (PTFE) tube, through which chemicals could be delivered to the surface of the glass slide which lay on the bed of the 30 plotter. A microcomputer was used to control the plotter and the syringe pump which delivered the The pen, carrying the delivery tube from chemicals. the syringe, was moved into position above the slide, the pen was lowered and the purp activated to lay down 35 ' coupling solution. Filling the pen successively with

TO

G, T and A phosphoramidite solutions an array of twelve spots was laid down in three groups of four, with three different oligonucleotide sequences. After hybridisation to cosR, as described in Example 2, and autoradiography, signal was seen only over the four spots of perfectly matched oligonucleotides, where the dG had been added.

In conclusion, we have demonstrated the following:

- 1. It is possible to synthesise oligonucleotides in good yield on a flat glass plate.
- 2. Multiple sequences can be synthesised on the sample in small spots, at high density, by a simple manual procedure, or automatically using a computer controlled device.
- 3. Hybridisation to the oligonucleotides on the plate can be carried out by a very simple procedure. Hybridisation is efficient, and hybrids can be detected by a short autoradiographic exposure.
- 4. Hybridisation is specific. There is no detectable signal on areas of the plate where there are no oligonucleotides. We have tested the effects of mismatched bases, and found that a single mismatched base at any position in oligonucleotides ranging in length from 12-mer to 19-mer reduces the stability of the
- 25 hybri: sufficiently that the signal can be reduced to a very low level, while retaining significant hybridisation to the perfectly matched hybrid.
 - 5. The oligonucleotides are stably bound to the glass and plates can be used for hybridisation repeatedly.
 - The invention thus provides a navel way of analysing nucleotide sequences, which should find a wide range of application. We list a number of potential applications below:

30

25

30

35

Small arrays of oligonucleotides as fingerprinting and mapping tools

Analysis of known mutations including genetic diseases.

Example 4 above shows how the invention may be used to analyse mutations. There are many applications for such a method, including the detection of inherited diseases.

Genomic fingerprinting.

In the same way as mutations which lead to disease 10 can be detected, the method could be used to detect point mutations in any stretch of DNA. Sequences are now available for a number of regions containing the base differences which lead to restriction fragment length polymorphisms (RFLPs). An array of oligo-15 nucleotides representing such polymorphisms could be made from pairs of oligonucleotides representing the two allelic restriction sites. Amplification of the sequence containing the RFLP, followed by hybridisation to the plate, would show which alleles were present in 20 the test genome. The number of oligonuclectides that could be analysed in a single analysis could be quite Fifty pairs made from selected alleles would be enough to give a fingerprint unique to an individual. · Linkage analysis.

Applying the method described in the last paragraph to a pedigree would pinpoint recombinations. Each pair of spots in the array would give the information that is seen in the track of the RFLP analysis, using gel electrophoresis and blotting, that is now routinely used for linkage studies. It should be possible to analyse many alleles in a single analysis, by hybridisation to an array of allelic pairs of oligonucleotides, greatly simplifying the methods used to find linkage between a DNA polymorphism and phenotypic marker such as a disease gene.

The examples above could be carried out using the

10

15

method we have developed and confirmed by experiments.

Large arrays of oligonucleotides as sequence reading tools.

We have shown that oligonucleotides can be synthesised in small patches in precisely determined positions by one of two methods: by delivering the precursors through the pen of a pen-plotter, or by masking areas with silicone rubber. It is obvious how a pen plotter could be adapted to synthesise large arrays with a different sequence in each position. For some applications the array should be a predetermined, limited set; for other applications, the array should comprise every sequence of a predetermined length. The masking method can be used for the latter by laying down the precursors in a mask which produces intersecting lines. There are many ways in which this can be done and we give one example for illustration: The first four bases, A, C, G, T, are laid in four broad stripes on a square plate.

- 20 2. The second set is laid down in four stripes equal in width to the first, and orthogonal to them. The array is now composed of all sixteen dinucleotides.
- 3. The third and fourth layers are laid down in four sets of four stripes one quarter the width of the first stripes. Each set of four narrow stripes runs within one of the broader stripes. The array is now composed of all 256 tetranuclectides.
- 4. The process is repeated, each time laying down two layers with stripes which are one quarter the width of the previous two layers. Each layer added increases the length of the oligonucleotides by one base, and the number of different oligonucleotide sequences by a factor of four.

The dimensions of such arrays are determined by the width of the stripes. The narrowest stripe we

10

15

20

25

30

35

have laid is 1mm, but this is clearly not the lowest limit.

There are useful applications for arrays in which part of the sequence is predetermined and part made up of all possible sequences. For example:

Characterising mRNA populations.

Most mRNAs in higher eukaryotes have the sequence AAUAAA close to the 3' end. The array used to analyse mRNAs would have this sequence all over the plate. To analyse a mRNA population it would be hybridised to an array composed of all sequences of the type N AATAAAN . For m + n = 8, which should be enough to give a unique oligonucleotide address to most of the several thousand mRNAs that is estimated to be present in a source such as a mammalian cell, the array would be 256 elements square. The 256 x 256 elements would be laid on the AATAAA using the masking method described above. With stripes of around 1mm, the array would be ca. 256mm square.

This analysis would measure the complexity of the mRNA population and could be used as a basis for comparing populations from different cell types. The advantage of this approach is that the differences in the hybridisation pattern would provide the sequence of oligonucleotides that could be used as probes to isolate all the mRNAs that differed in the populations.

Sequence determination.

To extend the idea to determine unkown sequences, using an array composed of all possible oligonucleotides of a chosen length, requires larger arrays than we have synthesised to date. However, it is possible to scale down the size of spot and scale up the numbers to those required by extending the methods we have developed and tested on small arrays. Our experience shows that the method is much simpler in operation than the gel based methods.

TABLE 1

```
For Examples 3 and 4 array (a) was set out as follows:
                                          20 GAG GAC aCC TCT ACG
          GAG GAC TCC TCT ACG
                                              GAC GAC aCC TCT GAC G
     36 GAG GAC TCC TCT GAC G
                                          20
                                              GAC GAC aCC TCT AGA CG
     36 GAG GAC TCC TCT AGA CG
                                          20
                                          36 GAG GAC aCC TCT CAG ACG
     47 GAG GAC TCC TCT CAG ACG
                                              GAG GAC aCC TCT TCA GAC G
                                          47
     60 GAG GAC TCC TCT TCA GAC G
 5
                                              .AG GAC aCC TCT TCA GAC G
     56 .AG GAC TCC TCT TCA GAC G
                                          42
                                          42 ...G GAC aCC TCT TCA GAC G
     56 ... G GAC TCC TCT TCA GAC G
                                              ... GAC aCC TCT TCA GAC G
     47 ... GAC TCC TCT TCA GAC G
                                          42
                                              ... .AC aCC TCT TCA GAC G
          ... AC TCC TCT TCA GAC G
                                          36
     42
                                              ... .. C aCC TCT TCA GAC G
     36 ... ..C TCC TCT TCA GAC G
10
                                          36
                                              ... acc tct tca gac g
     36 ... TCC TCT TCA GAC G
                                          36
     36 ... ... .CC TCT TCA GAC G
                                          36 ... .CC TCT TCA GAC G
     For example 3 array (b) was set out as follows:
                                                        20 GAG GAC aC
                              20 GAG GAC TC
15 20 GAG GAT TC
                                                        20 GAG GAC aCC
                              20 GAG GAC TCC
    20 GAG GAt TCC
                                                        20 GAG GAC aCC T
                              20 GAG GAC TCC T
    20 GAG GAT TOO T
                                                        20 GAG GAC aCC TC
                              20 GAG GAC TOC TO
    20 GAG GAT TCC TC
                                                        20 GAG GAC aCC TCT
                              20 GAG GAC TCC TCT
    20 GAG GAT TOO TOT
                                                        20 GAG GAC aCC TCT T
                              20 GAG GAC TCC TCT T
20 GAG SAL TOO TOT T
                                                        20 GAG GAC aCC TCT TC
                              20 GAG GAC TCC TCT TC
    20 GAG SAT TOO TOT TO
                                                        20 GAG GAC aCC TCT TCA
                              20 GAG GAC TOO TOT TOA
    20 GAG SAL TOO TOT TOA
                              42 GAG GAC TOO TOT TOA G
                                                        20 GAG GAC aCC TCT TCA G
    32 GAG GAT TOO TOT TOA G
                                                        32 GAG GAC aCC TCT TCA GA
                              47 GAG GAC TOO TOT TOA GA
    32 GAG GAT TOO TOT TOA GA
25 42 GAG SAL TOO TOT TOA GAC 52 GAG GAC TOO TOT TOA GAC 42 GAG GAC BOO TOT TOA GAC
    52 GAG GAL TOO TOT TOA GAC G 60 GAG GAC TOO TOT TOA GAC G 52 GAG GAC ACC TOT TOA GAC G
    42 .AG GAL TOO TOT TOA GAC G 52 .AG GAC TOO TOT TOA GAC G 42 .AG GAC aCC TOT TOA GAC G
    42 ... G GAL TOO TOT TOA GAC G 52 ... G GAC TOO TOT TOA GAC G 42 ... G GAC BOO TOT TOA GAC G
    37 ... GAL TOO TOT TOA GAC G 47 ... GAC TOO TOT TOA GAC G 37 ... GAC BOC TOT TOA GAC G
30 32 ... .AL TOO TOT TOA GAC G 42 ... .AC TOO TOT TOA GAC G 32 ... .AC aCC TOT TOA GAC G
     32 ... ..t TOC TCT TCA GAC G 42 ... ..C TOC TCT TCA GAC G 32 ... ..C aCC TCT TCA GAC G
     32 ... TOC TCT TCA GAC G 32 ... ... TOC TCT TCA GAC G 32 ... ... aCC TCT TCA GAC G
      Between the three columns of array (b) listed above, were two
      columns, in which bases 6 and 7 from the left were missing in
     ever: line. These sequences all melted at 20 or 32 degrees.
35
      (a,t) mismatch base (.) missing base.
```

20

CLAIMS

- 1. Apparatus for analysing a polynuclectide sequence, comprising a support and attached to a surface thereof an array of the whole or a chosen part of a complete set of oligonucleotides of chosen lengths, the oligonucleotides being capable of taking part in hybridisation reactions.
- 2. Apparatus for studying differences between polynucleotide sequences, comprising a support and attached
 to a surface thereof an array of the whole or a chosen
 part of a complete set of oligonucleotides of chosen
 lengths comprising the polynucleotide sequences, the
 oligonucleotides being capable of taking part in
 hybridisation reactions.
- 3. Apparatus as claimed in claim 2, wherein the array comprises one or more pairs of oligonuclectides of chosen lengths.
 - 4. Apparatus as claimed in claim 3, wherein the array comprises one or more pairs of oligonucleotides of chosen lengths representing normal and mutant versions of a point mutation to be studied.
 - 5. Apparatus as claimed in any one of claims 1 to 4, wherein the chosen length is from 8 to 20 nucleotides.
- 6. Apparatus as claimed in any one of claims 1 to 5, wherein the surface of the support to which the oligonucleotides are attached is of glass.
 - 7. Apparatus as claimed in any one of claims 1 to 6, wherein each oligonucleotide is bound to the support through a covalent link.
- 8. A method of analysing a polynucleotide sequence, by the use of a support to the surface of which is attached an array of the whole or a chosen part of a complete set of oligonucleotides of chosen lengths, which method comprises labelling the polynucleotide
- 35 sequence or fragments thereof to form labelled material,

PCT/GB89/00460

applying the labelled material under hybridisation conditions to the array, and observing the location of the label on the surface associated with particular members of the set of oligonucleotides.

- 9. A method according to claim 8, applied to the study of differences between polynucleotide sequences, wherein the array is of the whole or a chosen part of the complete set of oligonucleotides of chosen lengths comprising the polynucleotide sequences.
- 10. A method as claimed in claim 9, wherein the array comprises one or more pairs of oligonucleotides of chosen lengths.
 - 11. A method as claimed in claim 10, wherein the array comprises one or more pairs of oligonucleotides cf
- chosen lengths representing normal and mutant versions of a point mutation being studied.
 - 12. A method according to any one of claims 8 to 11, wherein the polynucleotide sequence is randomly degraded to form a mixture of oligomers of a chosen
- length, the mixture being thereafter labelled to form the labelled material.
 - 13. A method as claimed in claim 12, wherein the oligomers are labelled with $^{\circ}$ P.
 - 14. A method as claimed in any one of claims 8 to 13,
- wherein the chosen length is from 8 to 20 nuclectides.

INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 89/00460

L CEAS	SIFICATION OF SUBJECT MATTER (il several clas	ssification symbols apply, Indicate stl) ⁶	
Accordin	g to International Patent Classification (IPC) or to both N	lational Classification and IPC	
IPC ⁴ :	C 12 Q 1/68		
IL FIELD	S SEARCHED		٥. ٠
	Minimum Docum	nentation Searched 7	
Classificat	ion System-	Classification Symbols	
IPC ⁴	C 12 Q		· · · · · · · · · · · · · · · · · · ·
		r then Minimum Documentation its are included in the Fields Searched ^a	
III. DOCI	UMENTS CONSIDERED TO BE RELEVANT	•	
Category *	Citation of Document, 11 with Indication, where ap	propriate, of the relevant passages 12	Relevant to Claim No. 13
X	GB, A, 2156074 (ORION-Y 1985, see the whole	HTYMA OY) 2 October document	1-14
A	EP, A, 0228075 (MOLECUL 8 July 1987	AR DIAGNOSTIC INC.)	
A	WO, A, 85/01051 (MOLECU 14 March 1985	LAR BIOSYSTEMS INC.)	
	cited in the applicatio	n.	·
		•	
•	-		İ
		·	
İ			
	I categories of cited documents: 19	"T" later document published after the or priority date and not in conflict	International filling date
	ument defining the general state of the art which is not sidered to be of particular relevance	cited to understand the principle invention	or theory underlying the
	er document but published on or after the international or date	"X" document of particular relevance	the claimed invention
"L" doci	ument which may throw doubts on priority claim(s) or the cited to establish the publication date of another ion or other special reason (as specified)	cannot be considered novel or o involve an inventive step "Y" document of particular relevance	the claimed investion
"O" docs	ument referring to an oral disclosure, use, exhibition or ir means	cannot be considered to involve as document is combined with one o ments, such combination being ob	r more other such docu-
"P" docs	ur means ument published prior to the international filing date but than the priority date claimed	in the art. "&" document member of the same pa	
	FICATION		
	Actual Completion of the International Search	Date of Majing of this International Sea	rch Report
1st	August 1989	Date of Malling of this International Seas	
Internation	si Searching Authority	Signature of Authorized Officer	
	EUROPEAN PATENT OFFICE	M. VAN MOL.	

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

(to

GB 8900460

SA 28452

This amex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 21/08/89

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date		ent family ember(s)	Publication date
GB-A- 2156074	02-10-85	AU-B- AU-A- BE-A- CA-A- DE-A- FR-A- JP-A- LU-A- NL-A- SE-A- US-A-	577568 3842285 901671 1248895 3505287 2559783 60188100 85768 8500424 8500733 4731325	29-09-88 22-08-85 29-05-85 17-01-89 05-09-85 23-08-85 25-09-85 24-07-85 16-09-85 18-08-85 15-03-88
EP-A- 0228075	08-07-87	JP-A-	62228300	07-10-87
WO-A- 8501051	14-03-85	AU-B- AU-A- EP-A- JP-T-	575586 3319684 0155950 60502155	04-08-88 29-03-85 02-10-85 12-12-85

THIS PAGE BLANK (USPTO)